

IDENTIFICATION FORM

CDSPI Advisory Services Inc. is required to confirm the identity of applicants opening accounts. This compliance requirement relates to the federal government's ***Proceeds of Crime (Money Laundering) and Terrorist Financing Act***.

Applicants are required to provide CDSPI Advisory Services Inc. with a copy of an acceptable identification document, along with the signed declaration of a guarantor. You can use the form on the following page when providing this information.

INSTRUCTIONS

1. As the applicant, you complete Section 1 on the following page, and affix a legible photocopy of an “acceptable identification document” (see notes below).
2. Your guarantor completes Sections 2 and 3.
3. Send the completed form to CDSPI Advisory Services Inc. via regular mail

NOTES

Acceptable Identification Documents

For identification requirements, a copy of an original, legible and unexpired government-issued (federal, provincial or territorial) identification document which has a unique identifier (such as a card number) is required. The identification document does not have to include a photograph.

Examples of acceptable identification documents include a:

- birth certificate
- driver's licence
- social insurance number card
- passport
- permanent resident card
- citizenship card
- photo ID card for the provinces of: Alberta, British Columbia, Newfoundland and Labrador, Nova Scotia, PEI or Saskatchewan
- health card (Note: Health cards issued in Ontario, Manitoba or PEI are not acceptable. Quebec residents are not obliged to provide health cards as a form of identification, but may do so if they choose.)

Important: If an identification card (such as a driver's licence) has your signature and/or the expiry date on the back of the card, both sides of the card will need to be reproduced.

Acceptable Guarantors

A guarantor is a person other than yourself who confirms your identity. Your guarantor must be a person who is engaged in one of the following professions in Canada:

- dentist
- medical doctor, pharmacist, chiropractor or optometrist
- judge, magistrate, lawyer, notary public, notary (in Quebec) or commissioner of oaths
- chartered accountant, accredited public accountant, certified general accountant, certified management accountant or registered public accountant
- professional engineer (P.Eng. in provinces other than Quebec), engineer (Eng. in Quebec)
- veterinarian

Identification Form

For assistance filling out this form, call CDSPI Advisory Services Inc.:
1.800.561.9401 (toll-free) or 416.296.9401

Please return the completed form to:
CDSPI, 155 Lesmill Road, Toronto, ON M3B 2T8
E-mail: investment@cdspi.com

Note: The guarantor's original hand-written signature is required, so this form cannot be accepted electronically.

SECTION 1 Applicant Information

A. Name of Applicant:

Last Name First Name Initial(s)

Signature

B. Applicant's Date of Birth:

| | | | | | |
|-----|-------|------|--|--|--|
| | | | | | |
| Day | Month | Year | | | |

Date signed

SECTION 2 Identification Document (to be completed by Guarantor)

Please attach to this form a legible photocopy of the applicant's government-issued identification document.

On the photocopy, please include **all** of the following (both A and B):

A. Guarantor to write the following statement on the photocopy:

Declaration: I certify that this is a true copy of the original identification document.

B. Guarantor to sign and date the photocopy (day/month/year).

Important: Please check that you have included all of the above information on the photocopy before mailing to CDSPI.

SECTION 3 Guarantor Information

A. Name of Guarantor:

Last Name First Name Initial(s)

B. Guarantor's Profession:

(e.g. dentist, medical doctor, chartered accountant)

C. Guarantor's Address of Permanent Residence:

Street Number and Name

City Province Postal Code

D. Guarantor's Daytime Telephone Number:

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E. Type of identification document provided by the applicant (individual being identified):

(e.g. driver's licence or passport)

F. Number of identification document provided by the application (individual being identified):

(e.g. driver's licence or passport number)

(OFFICE USE ONLY) CDSPI ID VERIFICATION:

| ID TYPE | ID NUMBER | EXPIRY DATE | WHERE ISSUED |
|------------------------|-----------|--------------------|--------------|
| Per _____ | | Day Month Year | |
| (Authorized signature) | | | |